



Docket No.: 016762.093A-US02  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Cathy C. Northington et al.

Application No.: 09/638,954

Confirmation No.: 3314

Filed: August 16, 2000

Art Unit: 3624

For: OPEN-ARCHITECTURE SYSTEM FOR  
REAL-TIME CONSOLIDATION OF  
INFORMATION FROM MULTIPLE  
FINANCIAL SYSTEMS

Examiner: S. S. Snapp

**RECEIVED**

APR 26 2004

**GROUP 3600**

**RESPONSE TO RESTRICTION REQUIREMENT AND AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the restriction requirement set forth in the Office Action mailed March 23, 2004 (Paper No. Nine), Applicant hereby provisionally elects claims 11-29 for continued examination.

The Examiner has required restriction between:

I. Claims 11-29, drawn to a method for reconciling transaction data of an entity, classified in class 705, subclass 37; and

II. Claims 30-39, drawn to a method for consolidating, monitoring and controlling financial transactions of an entity, classified in class 705, subclass 35.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks/Arguments** begin on page 7 of this paper.

It is not believed that extensions of time or fees for net additional claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 50-0740.



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TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Amendment Transmittal Letter (in duplicate);
2. Response to Restriction Requirement and Amendment; and
3. Return receipt postcard.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 016762.093A-US02. A duplicate copy of this paper is enclosed.

It is not believed that extensions of time or fees for net addition of claims are required

beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 50-0740.

Dated: April 22, 2004

Respectfully submitted,

By 

Andrea G. Reister

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## AMENDMENT TRANSMITTAL LETTER

Docket No.  
016762.093A-US02Application No.  
09/638,954Filing Date  
August 16, 2000Examiner  
S. S. SnappArt Unit  
3624

Applicant(s): Cathy C. Northington et al.

Invention: OPEN-ARCHITECTURE SYSTEM FOR REAL-TIME CONSOLIDATION OF INFORMATION FROM MULTIPLE FINANCIAL SYSTEMS

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

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GROUP 3600

## CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	19	- 29 =		x	0.00
Independent Claims	1	- 3 =		x	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					0.00

☒ Large Entity☐ Small Entity☒ No additional fee is required for this amendment.☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0740  
as described below. A duplicate copy of this sheet is enclosed.☒ Credit any overpayment.☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.Andrea G. Reister  
Attorney Reg. No.: 36,253Dated: April 22, 2004COVINGTON & BURLING  
1201 Pennsylvania Avenue, N.W.  
Washington, DC 20004-2401  
(202) 662-6000